

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97255

Toru SUZUKI, et al.

Appln. No.: National Stage of PCT/JP2005/005556

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: September 25, 2006

Examiner: Not yet assigned

For: RECORDING MEDIUM PLAYBACK DEVICE

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/005556 dated May 10, 2005), together with an English-

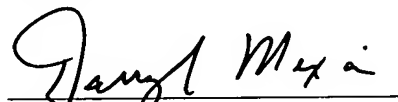
INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: National Stage of PCT/JP2005/005556  
Attorney Docket No. Q97255

language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Also, JP-A-11-273208 is cited and discussed on page 2 of the specification of the present application, and English-language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2003-217224; JP-A-2000-48465; JP-A-6-314459; JP-A-2003-59170 and JP-A-11-273208. *In addition, the listing JP-A-2003-217224 corresponds to the listed U.S. Patent Publication No. 2003/151986; JP-A-2000-48465 corresponds to the listed U.S. Patent No. 6,370,105 and EP-A2-0977197; and JP-A-6-314459 corresponds to the listed U.S. Patent No. 5,742,571.*

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

  
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WASHINGTON OFFICE

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MODIFIED PTO/SB/08 A &amp; B (08-03)

IAP01 Rec'd PCT/PTO 25 SEP 2006

Complete if known

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	National Stage of PCT/JP2005/005556
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First Named Inventor	Toru SUZUKI et al.
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	Q97255

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of

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2003/0151986	A1	08-14-2003	AKIRA OTSUKI
		US 6,370,105	B1	04-09-2002	FUMIHIKO FUJIMOTO
		US 5,742,571	A	04/21/1998	MASAAKI HOSHINO et al.
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2003-217224	A	07-31-2003	ALPINE ELECTRONICS, INC.	Abstract
		JP	2000-48465	A	02-18-2000	FUJITSU TEN, LTD.	Abstract
		EP	0 977 197	A2	02-02-2000	FUJITSU TEN, LTD.	
		EP	0 977 198	A2	02-02-2000	FUJITSU TEN, LTD.	
		JP	6-314459	A	11-08-1994	SONY CORP.	Abstract
		JP	2003-59170	A	02-28-2003	KENWOOD CORP.	Abstract
		JP	11-273208	A	10-08-1999	PIONEER ELECTRONIC CORP; PIONEER TOHOKU CORP.	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to indicate here if English language Translation is attached.